

Serial No:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: RD-26,121/USA

In re application of:
Serial No.:
Filed:
Group Art Unit:
For:

GERALD B. KLIMAN, ET AL

SLIP BRUSH ASSEMBLY AND METHOD

INFORMATION DISCLOSURE STATEMENT - CONTINUING APPLICATION

Honorable Assistant Commissioner of Patents
Washington, DC 20231

SIR:

This Information Disclosure Statement is being filed under 37 C.F.R. §1.56 for a continuing application, which relies on the filing date of its parent application, such parent application being identified as:

U.S. Patent Application Serial No: 09/342,571

Filed: 6/29/99

Examiner: T. NGUYEN

Inventor(s): GERALD B. KLIMAN, ET AL.

Group Art Unit: 2834

Title: SLIP BRUSH ASSEMBLY AND METHOD

Enclosed are copies of Forms PTO-1449 listing all "prior art" cited and PTO-892 Notice of References Cited and submitted in the parent application. Pursuant to 37 C.F.R. §1.98(d), no actual copies of the documents listed are being furnished to the PTO with this Information Disclosure Statement

Respectfully submitted,

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DATE: 25 May 2001

General Electric Company
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PO Box 8, Bldg. K-1 Salamone
Schenectady, New York 12301
CUSTOMER NUMBER: 006147

jc979 U.S. PTO
09/870567



INFORMATION DISCLOSURE STATEMENT BY APPLICANT--
LIST OF ITEMS

(Use several sheets if necessary)

Applicant

Gerald I. [redacted] et al.

#2

Filing Date

Group

U.S. P.

09/342571



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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
TNN	AA 5 4 9 0 1 9 8	02/06/96	Gerling	378	131	04/17/95
TNN	AB 5 7 4 2 5 1 5	04/21/98	Runkle et al.	364	494	04/21/95
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	AG					
	AH					
	AI					
	AJ					
	AK					

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TNN	AL 1 1 5 7 8 8 5	07/09/69	England		X		
	AM						
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	AP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

TNN	AR	Westinghouse Electric & Manufacturing Co., "Circular No. 1028", April 1903
	AS	A. M. Dudley, "Connecting Induction Motors", 1921, Page 204, 01/1921
TNN	AT	M. E. Hayes, "Current Collecting Brushes In Electrical Machines", 1947, Pages 124-127, 01/1947

EXAMINER

NGUYEN, TRAN N

DATE CONSIDERED

11/3/00

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ATTY. DOCKET NO.
RD-26,1

SERIAL NO.

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Applicant

Gerald Burt Kliman et al.

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	BJ					
	BK					

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES No
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BR	Ralph R. Lawrence, "Principles of Alternating-Current Machinery", 1953, Page 161
BS	Michael Liwschitz-Garik and Clyde C. Whipple, "Alternating Current Machines", April 1961 (1st Edition September 1946), Pages 119, 261
BT	Brown Boveri Review, "The Rotary Transformer", September 1967, Pages 554-564

EXAMINER

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SERIAL NO.

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GROUP ART
UNIT

2834

ATTACHMENT
TO PAPER NO.

NOTICE OF REFERENCES CITED

APPLICANT(S)

Kliman et al

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*		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
*	A	5,549,019	8/1996	Cattani	74	573R
*	B	5,976,047	11/1999	Woytaszek	310	112
*	C	5,285,125	2/1994	Lang	310	240
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	M						
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	P						
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

Nguyen, Tran

DATE

March 8, 2001

Form 892ccs2106b

* A copy of this reference is not being furnished with this office action.
(See Manual of Patent Examining Procedure, section 707.05(a).)



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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

Nguyen, Tran

DATE

November 3, 2000

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(See Manual of Patent Examining Procedure, section 707.05(a).)

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